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**FILING RECEIPT**

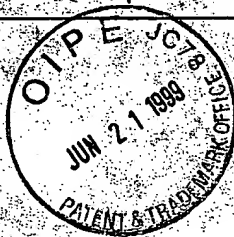
**CORRECTED**



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/273,102	03/19/99	2761	\$986.00	3765	8	21	4

BRIAN M HOFFMAN  
FENWICK & WEST  
TWO PALO ALTO SQUARE  
PALO ALTO CA 94306



RECEIVED

**JUN 11 1999**

FENWICK & WEST LLP

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

**Applicant(s)**

# GLASSMAN

STEVEN C. GLESSMAN, MOUNTAIN VIEW, CA; MARK S. MANASSE,  
SAN FRANCISCO, CA; JOHN W. COURT, CARRARA, AUSTRALIA;  
EDMUND J. GROHN, MUDGEERABA, AUSTRALIA; ANDREW M. PALKA,  
MORTIMER, UNITED KINGDOM; NIGEL NORRIS, BASINGSTOKE,  
UNITED KINGDOM.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 04/07/99  
TITLE

ANONYMOUS PURCHASES WHILE ALLOWING VERIFIABLE IDENTITIES FOR REFUNDS  
RETURNED ALONG THE PATHS TAKEN TO MAKE THE PURCHASES

PRELIMINARY CLASS: 705

DATA ENTRY BY: MONROE, BEULAH

TEAM: 04 DATE: 06/04/99

**REMARKS:**

**less recovery**

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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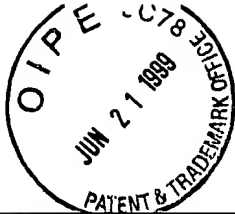
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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PTO/SB/17 (6-95)(modified)  
Approved for use through 11/30/96, OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	<b>C mplete if Kn wn</b>	
<b>FEE TRANSMITTAL</b>  <b>TOTAL AMOUNT OF PAYMENT</b> Subtotal (1) + Subtotal (2) + Subtotal (3) = <b>(\$0)</b>		Application Number	09/273,102
		Filing Date	March 19, 1999
		First Named Inventor	Steven C. Glassman
		Group Art Unit	2761
		Examiner Name	Not Assigned
		Attorney Docket Number	3765

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																									
<b>1. The Commissioner is hereby authorized to:</b>  <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.  <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>†</sup>  <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account.  Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP  A Duplicate Copy of this authorization is attached  <b>2. <input type="checkbox"/> Payment Enclosed:</b> [ ] Check [ ] Other		<b>3. ADDITIONAL FEES</b> <table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th><th>Fee Due</th></tr></thead><tbody><tr><td>105/\$130</td><td>205/\$65</td><td>Surcharge - late filing fee or oath</td><td><input type="text"/></td></tr><tr><td>127/\$50</td><td>227/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td><input type="text"/></td></tr><tr><td>147/\$2,520</td><td>147/\$2,520</td><td>For filing a request for reexamination</td><td><input type="text"/></td></tr><tr><td>115/\$110</td><td>215/\$55</td><td>Extension for response within first month<sup>†</sup></td><td><input type="text"/></td></tr><tr><td>116/\$380</td><td>216/\$190</td><td>Extension for response within second month<sup>†</sup></td><td><input type="text"/></td></tr><tr><td>117/\$870</td><td>217/\$435</td><td>Extension for response within third month<sup>†</sup></td><td><input type="text"/></td></tr><tr><td>118/\$1,360</td><td>218/\$680</td><td>Extension for response within fourth month<sup>†</sup></td><td><input type="text"/></td></tr><tr><td>128/\$1,850</td><td>228/\$925</td><td>Extension for response within fifth month<sup>†</sup></td><td><input type="text"/></td></tr><tr><td>119/\$300</td><td>219/\$150</td><td>Notice of Appeal</td><td><input type="text"/></td></tr><tr><td>141/\$1,210</td><td>241/\$605</td><td>Petition to revive unintentionally abandoned application</td><td><input type="text"/></td></tr><tr><td>142/\$1,210</td><td>242/\$605</td><td>Utility Issue Fee (Or Reissue)</td><td><input type="text"/></td></tr><tr><td>143/\$430</td><td>243/\$215</td><td>Design Issue Fee</td><td><input type="text"/></td></tr><tr><td>122/\$130</td><td>122/\$130</td><td>Petitions to the Commissioner</td><td><input type="text"/></td></tr><tr><td>123/\$50</td><td>123/\$50</td><td>Petitions related to provisional applications</td><td><input type="text"/></td></tr><tr><td>126/\$240</td><td>126/\$240</td><td>Submission of Information Disclosure Statement</td><td><input type="text"/></td></tr><tr><td>581/\$40</td><td>581/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td><input type="text"/></td></tr><tr><td>146/\$760</td><td>246/\$380</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td><input type="text"/></td></tr><tr><td>149/\$760</td><td>249/\$380</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td><input type="text"/></td></tr><tr><td colspan="2">Other fee (specify):</td><td><input type="text"/></td><td><input type="text"/></td></tr><tr><td colspan="2">Other fee (specify):</td><td><input type="text"/></td><td><input type="text"/></td></tr><tr><td colspan="2"></td><td><b>SUBTOTAL (3)</b></td><td><b>(\$0)</b></td></tr></tbody></table>		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="text"/>	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>	147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="text"/>	115/\$110	215/\$55	Extension for response within first month <sup>†</sup>	<input type="text"/>	116/\$380	216/\$190	Extension for response within second month <sup>†</sup>	<input type="text"/>	117/\$870	217/\$435	Extension for response within third month <sup>†</sup>	<input type="text"/>	118/\$1,360	218/\$680	Extension for response within fourth month <sup>†</sup>	<input type="text"/>	128/\$1,850	228/\$925	Extension for response within fifth month <sup>†</sup>	<input type="text"/>	119/\$300	219/\$150	Notice of Appeal	<input type="text"/>	141/\$1,210	241/\$605	Petition to revive unintentionally abandoned application	<input type="text"/>	142/\$1,210	242/\$605	Utility Issue Fee (Or Reissue)	<input type="text"/>	143/\$430	243/\$215	Design Issue Fee	<input type="text"/>	122/\$130	122/\$130	Petitions to the Commissioner	<input type="text"/>	123/\$50	123/\$50	Petitions related to provisional applications	<input type="text"/>	126/\$240	126/\$240	Submission of Information Disclosure Statement	<input type="text"/>	581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	<input type="text"/>	146/\$760	246/\$380	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>	149/\$760	249/\$380	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>	Other fee (specify):		<input type="text"/>	<input type="text"/>	Other fee (specify):		<input type="text"/>	<input type="text"/>			<b>SUBTOTAL (3)</b>	<b>(\$0)</b>
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<b>FEE CALCULATION</b> (fees effective 11/12/98) <b>1. FILING FEE</b> <table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Description</th><th>Fee Due</th></tr></thead><tbody><tr><td>101/\$760</td><td>201/\$380</td><td>Utility Filing</td><td><input type="text"/></td></tr><tr><td>106/\$310</td><td>206/\$155</td><td>Design Filing</td><td><input type="text"/></td></tr><tr><td>108/\$760</td><td>208/\$380</td><td>Reissue Filing</td><td><input type="text"/></td></tr><tr><td>114/\$150</td><td>214/\$75</td><td>Provisional Filing</td><td><input type="text"/></td></tr><tr><td colspan="2"><b>SUBTOTAL (1)</b></td><td></td><td><b>(\$0)</b></td></tr></tbody></table>		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Description	Fee Due	101/\$760	201/\$380	Utility Filing	<input type="text"/>	106/\$310	206/\$155	Design Filing	<input type="text"/>	108/\$760	208/\$380	Reissue Filing	<input type="text"/>	114/\$150	214/\$75	Provisional Filing	<input type="text"/>	<b>SUBTOTAL (1)</b>			<b>(\$0)</b>																																																																		
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		* Subtract the greater number of Col. 2 ** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3		<b>SUBTOTAL (2)</b>		<b>(\$0)</b>																																																																																					

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	Brian M. Hoffman	Reg. Number	39,713
Signature		Date	6/17/99

<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



# 6

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANTS: Steven C. Glassman, Mark S. Manasse, John W. Court, Edmund J. Grohn, Andrew M. Palka and Nigel Norris

SERIAL NO.: 09/273,102

FILING DATE: March 19, 1999

TITLE: ANONYMOUS PURCHASES WHILE ALLOWING  
VERIFIABLE IDENTITIES FOR REFUNDS RETURNED  
ALONG THE PATHS TAKEN TO MAKE THE PURCHASES

EXAMINER: Not Assigned

GROUP ART UNIT: 2761

ATTY. DKT. NO.: 3765

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Dated: 6/17/99

By: Brian M. Hoffman

Brian M. Hoffman, Reg. No.: 39,713

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**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

Enclosed is a copy of the Official Filing Receipt. It contains the following error:

1. The Applicant's name is erroneously stated as Steven C. Glssman. The correct Applicant's name is Steven C. **Glassman** as evidenced by the Declaration, a copy of which is enclosed.

Please issue a corrected Filing Receipt rectifying this error.

☒ The correction is not due to any error by the Applicant and therefore no fee is due.

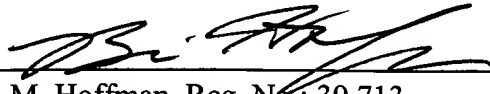
☐ Since at least one of the corrections is due to Applicant's error, payment in the amount of \$25, pursuant to 37 CFR § 1.19(h), is enclosed.

Respectfully submitted,  
STEVEN C. GLASSMAN, MARK S.  
MANASSE, JOHN W. COURT, EDMUND J.  
GROHN, ANDREW M. PALKA AND NIGEL  
NORRIS

Dated: \_\_\_\_\_

6/17/99

By: \_\_\_\_\_



Brian M. Hoffman, Reg. No.: 39,713

Fenwick & West LLP

Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (650) 858-7984

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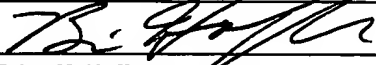
0001/PTO Rev. 10/95  <b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office		Application Number	09/273,102	
			Filing Date	March 19, 1999	
			First Named Inventor	Steven C. Glassman	
			Group Art Unit Number	2761	
			Examiner Name	Not Assigned	
Total Number of Pages in This Submission			10	Attorney Docket Number	3765

**ENCLOSURES (check all that apply)**

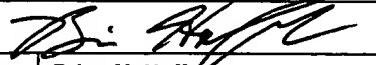
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & PTO-1619	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Declaration (copy)	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Filing Receipt Corrected (copy)
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
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<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/>

REMARKS:

**SIGNATURE OF ATTORNEY OR AGENT**

Signature:			
Attorney/Reg. No.:	Brian M. Hoffman, Reg. No.: 39,713	Dated:	6/17/99

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:	Brian M. Hoffman	Dated:	6/17/99
Express Mail Mailing Number (optional):			